

ALEXEI V. OVTCHINNIKOV

September 2011

ADDRESS

Owen Graduate School of Management
Vanderbilt University
401 21st Avenue South
Nashville, TN 37203

CONTACT INFORMATION

phone: 615-322-1488
fax: 615-343-7177
e-mail: alexei.ovtchinnikov@owen.vanderbilt.edu

EMPLOYMENT

ASSISTANT PROFESSOR OF FINANCE

VANDERBILT UNIVERSITY, July 2007 – present

ASSISTANT PROFESSOR OF FINANCE

VIRGINIA TECH, August 2004 – June 2007

EDUCATION

PURDUE UNIVERSITY, West Lafayette, IN

Ph.D. Finance, 2000 - 2004

Dissertation committee: John McConnell (Chair), David Denis, Diane Denis, Mike Cliff

UNIVERSITY OF CALIFORNIA, Riverside, CA

MBA, Finance, 1998 - 2000

UNIVERSITY OF CALIFORNIA, Santa Barbara, CA

BA, Business Economics, 1994 - 1998

High Honors

PUBLICATIONS

INDIVIDUAL POLITICAL CONTRIBUTIONS AND FIRM PERFORMANCE, with Eva Pantaleoni, *Journal of Financial Economics*, forthcoming.

- Outstanding Paper Award, 2011 Mid Atlantic Research Conference
- nominated for the Best Paper Award, 2011 European Financial Management Association Annual Conference
- semi-finalist for the Best Paper Award, 2011 Financial Management Association Annual Conference

WHAT IS THE BEST WAY TO TRADE USING THE JANUARY BAROMETER?, with Michael J. Cooper and John J. McConnell, *Journal Of Investment Management* 8, Fourth Quarter 2010, 58-72.

CORPORATE POLITICAL CONTRIBUTIONS AND STOCK RETURNS, with Michael J. Cooper and Huseyin Gulen, *Journal of Finance* 65, April 2010, 687-724.

- third prize in the Q-Group's 2007 Rodger F. Murray prize competition
- Best Paper in Corporate Finance Award, 2009 Midwest Finance Conference

CAPITAL STRUCTURE DECISIONS: EVIDENCE FROM DEREGULATED INDUSTRIES, *Journal of Financial Economics* 95, February 2010, 249-274.

ALEXEI V. OVTCHINNIKOV

CAPITAL MARKET IMPERFECTIONS AND THE SENSITIVITY OF INVESTMENT TO STOCK PRICES, with John J. McConnell, *Journal of Financial and Quantitative Analysis* 44, June 2009, 551-578.

THE OTHER JANUARY EFFECT, with Michael J. Cooper and John J. McConnell, *Journal of Financial Economics* 82, November 2006, 315 – 341.

- Second prize winner of the Fama/DFA prize for Capital Markets and Asset Pricing in the *Journal of Financial Economics*

PREDICTABILITY OF LONG-TERM SPINOFF RETURNS, with John J. McConnell, *Journal Of Investment Management* 2, Third Quarter 2004, 35 – 44.

S&P 500 INDEX ADDITIONS AND EARNINGS EXPECTATIONS, with Diane K. Denis, John J. McConnell and Yun Yu, *Journal of Finance* 58, October 2003, 1821 - 1840.

- Nominated for the Smith Breeden prize in the *Journal of Finance*

WORKING PAPERS

MERGER WAVES FOLLOWING INDUSTRY DEREGULATION

under revision for resubmission to the *Journal of Financial and Quantitative Analysis*

PUT YOUR MONEY WHERE YOUR MOUTH IS: TREASURY STOCK AS CURRENCY, with Nicole T. Jenkins.

WORK IN PROGRESS

DO CORPORATE POLITICAL CONTRIBUTIONS CAUSE HIGHER FIRM RETURNS?, with Michael J. Cooper and Huseyin Gulen.

FIRM LOBBYING AND REGULATORY CHANGES IN THE FINANCIAL SERVICES INDUSTRY, with Michael J. Cooper and John J. McConnell.

AWARDS AND HONORS

Semi-finalist for the Best Paper Award, 2011 Financial Management Association Annual Conference, October 2011

Outstanding Paper Award for INDIVIDUAL POLITICAL CONTRIBUTIONS AND FIRM PERFORMANCE, Mid Atlantic Research Conference, March 2011

Nominated for the Best Paper Award for INDIVIDUAL POLITICAL CONTRIBUTIONS AND FIRM PERFORMANCE, 2011 European Financial Management Association Annual Conference

Best Paper in Corporate Finance Award for CORPORATE POLITICAL CONTRIBUTIONS AND STOCK RETURNS, Midwest Finance Association Meeting, March 2009

Finalist, James A. Webb Jr. Teaching Award, Owen Graduate School of Management, 2008

ALEXEI V. OVTCHINNIKOV

Third prize winner of the Q-Group's 2007 Rodger F. Murray prize competition for CORPORATE POLITICAL CONTRIBUTIONS AND STOCK RETURNS, November 2007

Second prize winner of the Fama/DFA Prize for Capital Markets and Asset Pricing in the *Journal of Financial Economics* for THE OTHER JANUARY EFFECT, June 2007

Research Summer Grant, Virginia Tech, Summer 2006

Nominated for the Smith Breeden prize in the *Journal of Finance* for S&P 500 INDEX ADDITIONS AND EARNINGS EXPECTATIONS, August 2004

Purdue Research Fund (PRF) Research Grant, Purdue University, Purdue University, 2003– 2004

Distinguished Teaching Award, Purdue University, Spring 2002

Outstanding Teaching Assistant of the Year Award, University of California Riverside, 1998-1999

PAPER PRESENTATIONS

2012

American Finance Association Annual Meeting (January 2012) *

2011

Mid Atlantic Research Conference (March 2011), Global Finance Conference (April 2011), Financial Management Association European Meeting (June 2011), European Financial Management Association Annual Meeting (June 2011), Florida State University (September 2011), Texas A&M University (September 2011)*, Financial Management Association Annual Meeting (October 2011)*

2010

Purdue Alumni Summer Conference (July 2010), Financial Management Association European Meeting (June 2010)[†], University of New South Wales (June 2010), National University of Singapore (May 2010), Singapore Management University (May 2010), Hong Kong University of Science and Technology (May 2010)

2009

FMRC Conference on the Role of Government Regulation in Corporate Finance (October 2009), FDIC (August 2009), Financial Management Association European Meeting (June 2009), Vanderbilt (May 2009), Midwest Finance Association (March 2009)

2008

Vanderbilt (April 2008)

2007

Virginia Tech (March 2007), Brigham Young University[†], University of Oregon[†], Q-Group Seminar[†]

2006

Vanderbilt (November 2006), CRSP Forum (September 2006), Virginia Tech (March 2006), American Finance Association Meeting (January 2006)

2005

Penn State (November 2005)[†], Goldman Sachs Asset Management (November 2005)[†], University of Colorado, October 2005[†], Wharton School, University of Pennsylvania[†]

2004

Purdue University (August 2004), Virginia Tech (March 2004), University of Missouri (January 2004)

2003

Florida State University (November 2003), University of Miami (October 2003)

* - scheduled; [†] - presentation by co-author

PROFESSIONAL SERVICE

MEMBERSHIP

American Finance Association, European Finance Association, Financial Management Association, Western Finance Association

PROGRAM ORGANIZER

FMRC Conference on the Role of Government Regulation in Corporate Finance, Nashville, 2009

PROGRAM COMMITTEE MEMBER

FMA European conference, Turin, 2009
FMA European conference, Hamburg, 2010

SESSION CHAIR

MFA annual meeting, Chicago, 2009
FMA annual meeting, Dallas, 2008
FMA annual meeting, New Orleans, 2004

DISCUSSANT

Mid Atlantic Research Conference, Philadelphia, 2010
FMA European Meeting, Turin, 2009
MFA Annual Meeting, Chicago, 2009
FMA Annual Meeting, Dallas, 2008
FMA Annual Meeting, Salt Lake City, 2006
FMA Annual Meeting, New Orleans, 2004
FMA Annual Meeting, Denver, 2003

REFEREE

Financial Management, Financial Review, International Review of Finance, Journal of Banking and Finance, Journal of Corporate Finance, Journal of Economics and Business, Journal of Finance, Journal of Financial and Quantitative Analysis, Public Choice, Review of Financial Studies

ALEXEI V. OVTCHINNIKOV

COMMITTEE SERVICE AT VIRGINIA TECH

Ph.D. committee, 2006-2007
Finance recruiting committee, 2006-2007
Finance seminars committee chair, 2006-2007

COMMITTEE SERVICE AT VANDERBILT

Accounting, Economics and Finance Seminar coordinator, 2007-2008, 2008-2009, 2009-2010

TEACHING

CORPORATE VALUATION (MBA), Vanderbilt

Fall 2007 (Mod 1), Spring 2008 (Mod 3), Fall 2008 (Mod 2), Spring 2009 (Mod 3), Fall 2009 (Mod 2), Spring 2010 (Mod 3), Fall 2010 (Mod 2), Spring 2011 (Mod 3), Fall 2011 (Mod 1)

CORPORATE VALUATION (EMBA), Vanderbilt

Spring 2008, Spring 2009, Spring 2010, Spring 2011

CORPORATE FINANCIAL POLICY (EMBA), Vanderbilt

Fall 2011

MERGERS & ACQUISITIONS, Vanderbilt

Spring 2011 (Mod 3)

EMPIRICAL METHODS IN FINANCE (Ph.D.), Vanderbilt

Spring 2008 (Mod 3)

CORPORATE FINANCE (Ph.D. seminar), Vanderbilt

Spring 2008, Spring 2009

ADVANCED FINANCIAL MANAGEMENT (undergraduate), Virginia Tech

Fall 2004, Fall 2005, Spring 2006

ASSET VALUATION AND CORPORATE GOVERNANCE (undergraduate), Virginia Tech

Spring 2007

MERGERS & ACQUISITIONS AND CORPORATE RESTRUCTURING (MBA), Virginia Tech

Spring 2005, Spring 2006, Spring 2007

CORPORATE FINANCE (Ph.D. seminar), Virginia Tech

Spring 2005, Spring 2007

SELECTED CITATIONS IN THE MEDIA

THE OTHER JANUARY EFFECT

Investors Chronicle (January 2009), Financial Times (January 2009), International Herald Tribune (January 2008), Dow Jones Market Watch (January 2008), Barron's Online (January 2007), Dow Jones Market Watch (January 2005)

ALEXEI V. OVTCHINNIKOV

CORPORATE POLITICAL CONTRIBUTIONS AND STOCK RETURNS

National Journal (June 2008), CFO Magazine (April 2008), MSN Money (February 2007), CNBC Morning Call (February 2007), US Fed News (January 2007), Dow Jones News Service (November 2006), The New York Times (November 2006), The Wall Street Journal (November 2006), The Salt Lake Tribune (November 2006), CNBC Closing Bell (November 2006)

INDIVIDUAL POLITICAL CONTRIBUTIONS AND FIRM PERFORMANCE

Nashville Business Journal (March 2011), States News Service (February 2011)